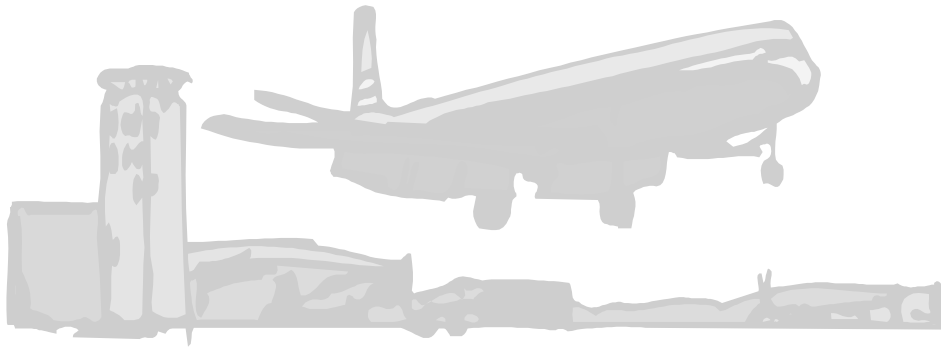




Disadvantage Business Enterprise Joint Venture Application



Schedule B

8. Ownership of joint venture: (This section can be omitted if described in the joint venture agreement provided by question 6.)

- a. Profit and loss sharing.
- b. Capital contributions, including equipment.
- c. Other applicable ownership interests.

9. Control of and participation in this contract. Identify by name, race, gender, and "firm" those individuals (and their titles), who are responsible for day-to-day management and policy decision making, including but not limited to those with primary responsibility for:

- a. Financial Decisions
- b. Management Decisions, such as:
 - (1) Estimating
 - (2) Marketing and Sales
 - (3) Hiring and Firing of Management Personnel
 - (4) Purchasing of major items or supplies
- c. Supervision of Field Operations

Note: If after completing this Schedule B and before the completion of the joint venture's work on the contract covered by this regulation, there is any significant change in the information submitted, the joint venture MUST inform the grantee, either directly or through the prime contractor, if the joint venture is a subcontractor.

AFFIDAVIT

10. The undersigned swear that the foregoing statements made as part of this application are true and correct and include all material information necessary to identify and explain the terms and operation of our joint venture and the intended participation by each joint venturer in the undertaking. Further, the undersigned covenant and agree to provide to the grantee current, complete, and accurate information regarding actual joint venture work and the payment thereof, and any proposed changes in any of the joint venture arrangements and to permit the audit and examination of the books, records, and files of the joint venture, or those of each joint venture relevant to the joint venture, or those representatives of the grantee or the Federal funding agency. Any material misrepresentation will be grounds for terminating any contract which may be awarded for initiating action under Federal or State law concerning false statements.

11. Fraud Statement

A PERSON MAY NOT: Fraudulently obtain, retain, attempt to obtain or retain, or aid another in fraudulently obtaining or retaining or attempting to obtain to retain certification as a Joint Venture for the purpose of this subtitle;

Willfully make a false statement, whether by affidavit, report, or other representation, to a State Official or employee for the purpose of influencing the certification, or denial of certification of any entity as a Joint Venture;

Fraudulently obtain, attempt to obtain, or aid another person in fraudulently obtaining or attempting to obtain, Enterprise for the purposes of this subtitle.

I have read the Affidavit and Fraud Statute. _____

Signature of Applicant

12. **NOTARIZATION: (Sign only in the presence of a Notary Public):**

Signature of Applicant _____	Title _____
Name (print) _____	Date _____

State of _____ City or County of _____
on this _____ day of _____, 20__ before me appeared
(name) _____, who is properly
authorized by (name of firm) _____ to
Execute this Affidavit and did so at his/her free act and deed.
Notary Public _____
My Commission _____
expires _____

(SEAL) Notary Public



**Raleigh-Durham Airport Authority
Disadvantaged Business Enterprise
Personal Net Worth Statement (PNW)**

PNW as of: _____
(Date)

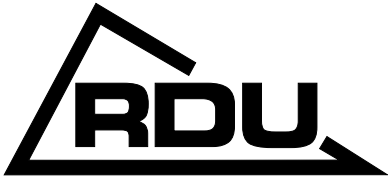
Each individual owner whose ownership and control are relied upon for DBE certification must complete this form.	
Name:	Business Phone:
Residence Address:	Residence Phone:
City, State, & Zip Code:	
Business Name of Applicant:	

Note: Exclude the equity in your primary residence and your ownership interest in the applicant firm. Also, include only your own share of assets held jointly or as community property.

ASSETS		LIABILITIES	
Cash on Hand & In Banks	\$	Accounts payable	\$
Savings Accounts		Notes or Loan Payable to Banks & Others	
IRA or Other Retirement Accounts		Installment Accounts (Auto, etc.)	
Accounts & Notes Receivable		Loan on Life Insurance	
Life Insurance-Cash Surrender Value Only		Mortgages on Real Estate	
Stocks and Bonds		Unpaid Taxes	
Real Estate		Other Liabilities	
Automobile-Present Value			
Other Personal Property			
Other Assets			
Total Assets	\$	Total Liabilities	\$

Net worth (Total Assets Less Total Liabilities) \$

- Check here, If you have received any of the following from an Alaska Native Corporation (ANC): cash, cash dividends on stock received from an ANC, stock, a partnership interest, land, and interest in land, or an interest in a settled trust.**



Raleigh-Durham Airport Authority
Certification of Social and Economic Disadvantage Eligibility
Designated Group

(To Be Completed by Owners Who Are Members of One or More Presumptively Disadvantaged Groups)

Please print:

Name of Individual: _____ Other Last Names Used: _____

Name of Firm for which DBE application is being submitted: _____

Check the presumptively disadvantaged groups that apply to you (you may check more than one); please carefully read this definition below before completing this form:

Black American _____ Hispanic American _____ Native American _____ Asian-Pacific American _____
 Subcontinent Asian American _____ Female _____ Other Disadvantaged Person: _____

U.S. Citizen: Yes _____ No _____ If "No," are you a lawfully admitted permanent resident of the United States? Yes _____ No _____

Socially and Economically Disadvantaged

"Socially and Economically Disadvantaged Individual" means any individual who is a citizen (or lawfully admitted permanent resident) of the United States and who is:

- (1) Any individual who a recipient finds to be socially and economically disadvantaged individual on a case by case basis.
- (2) **Any individual in the following groups, members of which are reputedly presumed to be socially and economically disadvantaged:**
 - I. "Black Americans," which includes persons having origins in any of the Black racial groups of Africa;
 - II. "Hispanic Americans," which includes persons of Mexico, Puerto Rican, Cuban, Dominican, Central or South American, or other Spanish or Portuguese culture or origin, regardless of race;
 - III. "Native Americans," which includes persons who are American Indians, Eskimos, Aleuts, or Native Hawaiians;
 - IV. "Asian-Pacific Americans," which includes persons whose origins are from Japan, China, Taiwan, Korea, Burma(Myanmar), Vietnam, Laos, Cambodia (Kampuchea), Thailand, Malaysia, Indonesia, The Philippines, Brunei, Samoa, Guam, the U.S. Trust Territories of the Pacific Islands (Republic of Palau), the Commonwealth of the Northern Marianan Islands, Macao, Fiji, Tonga, Kiribati, Juvalu, Nauru, Federated States of Micronesia, or Hong Kong;
 - V. "Subcontinent Asian Americans," which includes persons whose origins are from India, Pakistan, Bangladesh, Bhutan, the Maldives Islands, Nepal or Sri Lanka;
 - VI. Women;
 - VII. Any individual groups whose members are designated as socially and economically disadvantaged by the SBA, at such time as the SBA designation becomes effective.

I certify that all of the information provided in this certification is accurate, that I am a member of the presumptively disadvantaged group(s) check above, and that I have held myself out to be a member of the group(s) over a long period of time prior to submittal of this certification. I also certify that my personal Net Worth Statement is less than \$750,000.00.

Signature: _____ Date: _____

Name: _____ Title: _____

Your signature must be notarized:

State of _____ County of _____
 On this _____ day of _____ 20_____, before me appeared
 (name) _____ to me personally known, who being duly sworn, did execute the foregoing
 affidavit and did so as his or her free act and deed.

Notary Public (signature) _____ (printed name) _____

Commission Expires: _____ Notary Seal:

Raleigh-Durham Airport Authority

(Sign only in the presence of a Notary Public)

I authorize the Raleigh-Durham Airport Authority to make inquires as necessary to verify the accuracy of the statements made. I certify that the information provided is true and accurate of the stated date(s).

Signature: _____ Date: _____

Name: _____

Title: _____

The following is to be completed by Notary:

State of _____ County of _____

On this _____ day of _____ 20 _____, before me

appeared (*name*) _____ to me personally known, who being

duly sworn, did execute the foregoing affidavit and did so as his or her free act and deed.

Notary Public (*signature*) _____ (*printed name*) _____

Commission Expires _____ Notary Seal:

“SUPPORT DOCUMENTS” REQUIRED FOR JOINT VENTURE CERTIFICATION

(Attach to Application)

Note: Review of documents submitted is confidential.

Exhibit 1. Copy of joint venture agreement.